PHTHALIDES FROM THE RHIZOME OF LIGUSTICUM WALLICHII

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Abstract—Three phthalides, 3-butylidene-7-hydroxyphthalide, and cis and trans-6,7-dihydroxyligustilides, along with a dimeric phthalide wallichilide have been isolated from the hot water extract of the rhizome of Ligusticum wallichii. Their structures were established mainly on the basis of spectroscopic data. 3-Butyl-4,5-dihydro-3-hydroxy phthalide and adenosine were identified as active principles of the extract which are responsible for increase of coronary blood flow in the dog heart.

INTRODUCTION

'Chuan-Xiong' (Japanese name 'Senkyu') is the dried rhizome of Ligusticum wallichii Franch, and is well known in Chinese medicine as an important crude drug having haemodynamic and analgesic effects [1]. In China, it has been prescribed tentatively with other drugs in the treatment of angina pectoris [2]. Tetramethylpyrazine has already been identified as one of the active principles of L. wallichii and has been in clinical use against an ischemic cerebrovascular disease in China [3-5]. According to a detailed pharmacological evaluation using the dog heart [6, 7], the hot water extract of L. wallichii increases coronary blood flow. During the course of our continuing search for the active substances of L. wallichii, we have now isolated three phthalides, 3-butylidene-7-hydroxyphthalide (1), and cis and trans-dihydroxyligustilides (2 and 3), and a dimeric phthalide named wallichilide (6), the structures of which are described in this paper.

RESULTS AND DISCUSSION

The hot water extract of the rhizome of *L. wallichii* was extracted successively with benzene and ethyl acetate. From both extracts, a combination of column chromatography, prep. TLC and HPLC led to the isolation of compounds 1, 2, 3 and 6 along with the known phthalides ligustilide, butylidenephthalide, butylphthalide, senkyunolide and neocindilide.

3-Butylidene-7-hydroxyphthalide (1)

Compound 1 had the molecular formula $C_{12}H_{12}O_3$ (M⁺ 204.0791), and contained a hydroxyl (3600 cm⁻¹) group and a γ -lactone moiety (1750 cm⁻¹). Its mass spectrum exhibited fragment peaks at m/z 175 [M $-C_2H_5$]⁺, 162 [M $-C_3H_6$]⁺ and 147 [M $-C_2H_5$ -CO]⁺ indicating that 1 was butylidenephthalide sub-

stituted with a phenolic hydroxyl group. The ¹H NMR of 1 showed the presence of 1,2,3-substituted aromatic protons [δ 6.91 (1H, d, J = 8.0 Hz), 7.13 (1H, d, J = 8.0 Hz), 7.55 (1H, dd, J = 8.0, 8.0 Hz)], but did not contain the lowfield proton around δ 7.5 found in butylidenephthalide meaning that a hydroxyl group was located on C-7. This assignment was supported by an AlCl₃-induced bathochromic shift ($224 \rightarrow 230$ nm and $330 \rightarrow 340$ nm) in the UV spectrum. Thus, the structure of 1 was established as 3-butylidene-7-hydroxyphthalide.

cis-6,7-Dihydroxyligustilide (2)

Compound 2, λ_{max}^{MeOH} 272 nm, had the molecular formula $C_{12}H_{16}O_4$ (M⁺ 224.1044). Its IR spectrum revealed the presence of hydroxyl (3400 cm^{-1}) , γ -lactone (1765 cm⁻¹) and diene (1675, 1638 cm⁻¹) groups. The ¹H and ¹³C NMR spectra of 2 were very similar to those of ligustilide (5) [9] except for the signals due to H-6 and H-7 and C-6 and C-7 (Tables 1 and 2). These spectral data suggested a close structural relationship between 2 and 5. In place of the signals at $\delta 5.97$ (1H, dt, J = 9.5, 4.1 Hz, H-6), 6.25 (1H, dt, J = 9.5, 1.6 Hz, H-7) and δ 130.0 (d, C-6), 117.4 (d, C-7) found in ligustilide (5), 2 contained signals due to methine protons at $\delta 3.95$ (1H, ddd, J = 9.8, 5.9, 3.1 Hz) and 4.50 (1H, d, J = 5.9 Hz), and carbon atoms bearing secondary hydroxyl groups at $\delta 68.0$ (d) and 71.9 (d), suggesting that in 2 the $\Delta^{6.7}$ double bond of 5 was replaced by a 6,7-dihydroxy group. This was supported by the formation of the diacetate 2a and the dibenzoate 2b on treatment of 2 with acetic anhydride and benzoyl chloride in pyridine respectively. In addition, the mass spectrum of 2 showed a fragment peak at m/z 180.0806 which was derived from a retro-Diels-Alder cleavage of the [M $-H_2O$ ⁺ ion at m/z 206, supporting the structure dihydroxylated at C-6 and C-7 for 2. Thus, these data gave 6,7-dihydroxyligustilide a plane structure. The configurations of the hydroxyl groups attached at C-6 and C-7 were determined by the complete assignment of the ¹H NMR signals of 2 by means of extensive decoupling

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Table 1. ¹H NMR data of compounds 2, 3 and 5 (400 MHz, CDCl₃, TMS as int. standard)

Н	2	3	5
<u></u> -	2.58 dddd	2.38 dddd	2.57 m
	(18.4, 5.9, 5.4, 1.1)*	(18.4, 5.4, 5.4, 1.1)	
4β	2.50 dddd	2.65 dddd	
	(18.4, 8.1, 5.5, 1.9)	(18.4, 7.6, 5.7, 1.4)	
5σ	2.58 dddd	1.81 dddd	2.43 m
	(13 5, 9 8, 8.1, 5.9)	(13.8, 7.6, 62, 2.4)	
5β	2.12 dddd	2.14 dddd	
	(13.5, 5.4, 5.5, 3.1)	(13.8, 76, 5.7, 5.4)	
6	3.95 ddd	4.07 ddd	5.97 dt
	(9.8, 5.9, 3.1)	(7.6, 3.5, 24)	(9.5, 4.1)
7	4.50 ddd	4.61 ddd	6.25 dt
	(5.9, 1.9, 11)	(3 5, 1.9, 1.1)	(9.5, 1.6)
8	5.29 t (7.3)	5.31 t (7.7)	5.20 t (8.0)
9	2 36 td (7.3, 7.3)	2.36 td (7.7, 7.7)	2.43 td (8.0, 7.3)
10	1.50 qt (7 3, 7.3)	1.50 qt (7.7, 7.7)	1.48 qt (7.3, 7.3)
11	0.95 t (7.3)	0.95 t (7.7)	0.92t(73)

^{*}Coupling constants (J in Hz) are given in parentheses.

experiments (Table 1). Thus the J values of the vicinal couplings found for H-4 and H-5 ($J_{4\alpha, 5\beta} = 5.4$ Hz, $J_{4\alpha, 5\alpha} = 5.9$ Hz, $J_{4\beta, 5\beta} = 5.5$ Hz, $J_{4\beta, 5\alpha} = 8.1$ Hz) disclosed that the cyclohexene ring in 2 adopted a boat-like conformation. The J value between H-6 and 7 showed 5.9 Hz, a typical cis-coupling, indicating that the hydroxyl groups had to be cis to each other. An NOE for H-8 (8%) was observed upon irradiation at H-4 α meaning that the $\Delta^{3.8}$ double bond had Z-geometry as in ligustilide (5). Thus, the structure of 2 was assigned to 3-(Z)-butylidene-4,5-dihydro-cis-6,7-dihydroxyphthalide.

trans-6,7-Dihydroxyligustilide (3)

Compound 3 had the same molecular formula, $C_{12}H_{16}O_4$, as 2. Furthermore its IR, UV and mass spectra were very similar to those of 2. The ¹H NMR spectrum of 3 was found to be almost identical with that of 2 except for the J value (3.5 Hz) between H-6 and H-7, which indicated that the hydroxyl groups attached at C-6 and 7 were in the trans configuration. Thus, the structure 3-(Z)-butylidene-4,5-dihydro-trans-6,7-dihydroxyphthalide was proposed for 3.

Table 2. ¹³C NMR data of compounds 2, 5 and 6 (100.61 MHz, CDCl₃, TMS as int. standard)

Carbon	2	5	6
1	169.2 s	167.6 s	168.7 s
3	148.5 s	149.0 s	149.0 s
3a	153.0 s	147.2 s	153.5 s
4	19.1 t	18.6 t	19.0 t
5	26.7 t	22.6 t	25.8 t
6	71.9 d	130.0 d	38.4 d*
7	68.0 d	117.4 d	38.5 d*
7a	126.3 s	124.3 s	127.5 s
8	114.1 d	112.7 d	110.7 d
9	28.2 t	28.2 t	28.0 t
10	22.4 t	22.5 t	22.5 t
11	13.7 q	13.8 q	13.7 q
1'			57.4 s
2'			27.9 t
3'			28.5 t
4'			38.2 d*
5'			138.8 d
6'			138.0 s
7′			208.1 s
8′			39.7 t
9′			28 5 t
10'			22.5 t
11'			14.0 q
COOMe			51.4 q
COOMe			169.3 s

^{*}Assignments may be interchangeable.

Wallichilide (6)

Compound 6, mp 163-164.5°, had the molecular formula C₂₅H₃₂O₅ (M⁺ 412.2252, calc. 412.2250 and elementary analysis), showing that it contained ten unsaturations. Its IR spectrum contained absorption bands assignable to a y-lactone group (1758 cm⁻¹), a conjugated ester (1715, 1620 cm⁻¹) and ketone (1690 cm⁻¹) groups. The ¹³C NMR spectrum of 6 showed the presence of 25 carbon atoms comprised of three methyl groups including one methyl group bearing an O-atom, nine methylenes, three methines one tetrasubstituted carbon, two trisubstituted and one tetrasubstituted double bonds, and two ester carbonyls and one ketone carbonyl. The 400 MHz ¹H NMR spectrum exhibited signals due to two methyl groups $[\delta 0.92, 0.93 \text{ (each 3H, } t, J = 7.3, 7.8 \text{ Hz})]$, a methyl ester group [δ 3.59 (3H, s)] and olefinic protons [δ 5.06 (1H, t, J = 7.8 Hz), 6.70 (1H, d, J = 6.9 Hz)]. In addition extensive selective proton decoupling experiments (Table 3) disclosed the presence of the following partial structures: $-\dot{C}$ -CH₂(8')-CH₂(9')-CH₂(10')-CH₃ (11') (A), -C=CH(8)-CH₂(9)-CH₂(10)-CH₃(11) (B), -C-CH₂(2')-CH₂(3')-CH(4')-CH(5')=C-(C), -C-CH₂ (4)-CH₂(5)-CH(6)-CH(7)-C-(D). The partial structure homoallylic long-range D showed coupling $(J_{7, 4\alpha} = 1.4 \text{ Hz}, J_{7, 4\beta} = 1.2 \text{ Hz})$, and the NOE for H-8 (7%) in **B** was observed upon irradiation at H-4 β (δ 2.12) in D suggesting that B and D originated from partial structure E. The presence of E was additionally supported by the observation of ¹³C NMR shifts in 6 very similar to those in 2 (Table 2). On the other hand, the remaining

Table 3. ¹H NMR data of 6 (400 MHz, CDCl₃, TMS as int. standard)

Н	6	6 + Eu (fod) ₃ *	Shift value
4α	2.12 dddd (16.5, 11.9, 4.6, 1.4)†	2.27	-0.15
4β	2.23 dddd (16.5, 4.1, 2.7, 1.2)		_
5α	1.88 dddd (11.9, 11.0, 4.6, 2.7)	1.93	-0.05
5β	1.55 dddd (11.9, 11.9, 8.0, 4.1)	_	_
6	2.50 dddd (11.0, 8.8, 8.0, 1.0)	2.57	0.07
7	3.32 ddd (8.8, 1.4, 1.2)	3.90	-0.58
8	5.06 t (7.8)	5.11	-0.05
9	2.31 td (7.8, 7.8)	2.32	-0.01
10	1.46 qt (7.8, 7.8)	1.47	-0.01
11	0.93 t (7.8)	0.93	0
2'α	2.13 ddd (12.2, 9.9, 5.3)	2.32	-0.19
2'β	1.63 ddd (12.2, 11.9, 3.4)	1.76	-0.13
3'α	1.92 dddd (12.2, 9.9, 3.4, 2.7)	1.98	-0.06
3′β	1.40 dddd (12.2, 11.9, 5.3, 2.3)	_	_
4'	2.59 dddd (6.9, 2.7, 2.3, 1.0)	2.67	-0.08
5′	6.70 d (6.9)	7 11	-0.41
8′	3.07 ddd (17.8, 8.5, 6.2)	3 29	-0.22
	2.55 ddd (17.8, 8.5, 6.2)	2 88	-0.33
9'	1.65 m	1.76	-0.11
10'	1.33 qt (7.3, 7.3)	1.37	-0.04
11'	0.92 t (7 3)	0.92	0
COOMe	3.59 s	3.69	-0.10

^{*0.2} mol.

[†]Coupling constants (J in Hz) are given in parentheses.

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group A and C might be converted to the partial structure F if the quaternary carbons in C were linked together. This assumption was supported by the following mass spectrum analysis: the interpretation of the mass spectrum of 6 with the help of high resolution allowed the assignment of prominent peaks at m/z 222 and 190 to fragment 7 and 8, which was derived from a retro-Diels-Alder cleavage. The fragments 8 and 7 turned out to be ligustilide and its methyl ester respectively, which were clearly supported by the typical fragment 9, 10 and 11 for ligustilide observed at m/z 161, 148 and 165 (Scheme 1). The results of the MS analysis were consistent with the partial structures E and F being bonded together. Thus, a bicyclo (2.2.2) octene skeletone was elucidated for the structure of wallichilide.

The presence of the small J value (1.0 Hz) between H-4' and H-6 provided proof of C_4 - C_6 bond formation and indicated that C_1 - C_7 had to be connected. The bond linkage between **E** and **F** was established by comparison of the ¹H NMR in 6 to that on the addition of Eu (fod)₃ (Table 3). Namely, the chemical shift of H-7 resulted in a large downfield shift (-0.58) since a strong complex formation between Eu (fod)₃ and carbonyl groups caused

a contact magnetic effect, whereas that of H-4 did not change, indicating that the carbonyl groups in E and F were placed in the same direction in space. This result can be explained only by the structure 6 tentatively proposed by the analyses of the 1 H, 13 C NMR and mass spectral data. The cis orientation for H-6 and H-7 were evident from the J value (8.8 Hz) and the presence of W-shaped long range coupling ($J_{7,4'} = 1$ Hz) [10]. The $\Delta^{3,8}$ double bond had to take Z-configuration due to the NOE for H-8

Scheme 1 Mass spectral fragmentation of wallichilide (6).

(7%) upon irradiation at H-4 β . Thus, on the basis of the spectral evidence in addition to the molecular formula, **6** was proposed for the structure of wallichilide.

In addition to 1, 2, 3 and 6 the rhizome of L. wallichii contained the previously known phthalides butylphthalide, butylidenephthalide, ligustilide, senkyunolide and neocnidilide, which were reported to be produced by the Japanese variety of 'Senkyu', Cnidium officinale [11, 12]. Wallichilide (6) has proved to be closely related to diligustilide which was recently reported by Kaouadji et al. [13]. Although we have not had an opportunity to compare both compounds, trans-6,7-dihydroxyligustilide (3) should be identical with ligustilidiol reported by Kaouadji et al. [14].

Finally, it is noted that we had already identified both adenosine [15–17] and 3-butyl-4,5-dihydro-3-hydroxyphthalide (4) as the substances of L. wallichii which can enhance strong coronary blood flow (6.5 ml/1 mg) in isolated blood-perfused dog heart preparations. We will report elsewhere on the detailed pharmacological properties of the compounds so far isolated from L. wallichii.

EXPERIMENTAL

L. wallichu rhizome was identified by Wang Pushan. A voucher specimen has been deposited at Peking Institute of Pharmaceutical Industries Mps. uncorr; ¹H NMR (400 MHz) and ¹³C NMR (100.61 MHz): CDCl₃, TMS as int. standard. 70 eV; CC: silica gel (Merck 70–230 mesh); TLC¹ precoated silica gel plates F₂₅₄ (Merck, 0 25, 1 and 2 mm). Spots were visualized by UV (254 nm) and 40% CeSO₄–H₂SO₄.

Extraction and isolation. (a) Air dried and powdered rhizome (5 kg) was extracted with H₂O (20 l.) at 80° and the H₂O extract extracted successively with C₆H₆ and EtOAc The C₆H₆ fraction (15 g) was chromatographed on silica gel using C₆H₆-EtOAc and divided into 23 fractions fr. 1-6 (C₆H₆); fr. 7-13 (C₆H₆-EtOAc, 2·3). Fr. 5-6 (251 mg) were bulked and purified by CC on silica gel (n-hexane-Me₂CO, 9:1) followed by prep. TLC (n-hexane-Me₂CO, 4:1) to give 3-butylidene-7-hydroxyphthalide (1) (2.6 mg) and butylidenephthalide (22 mg). Fr. 9 (200 mg) was subjected to prep TLC (C₆H₆-EtOAc, 3 2) to afford senkyunolide (89 mg). Fr. 10 (4.2 g) was rechromatographed on silica gel (C₆H₆-EtOAc, 4.1) and the fourth fraction (1 g) purified by HPLC [Lichroprep Si 60 (type C), n-hexane-EtOAc (4 1), 9 ml/min] to give neocnidilide (21 mg). The EtOAc extract was evaporated to dryness and the residue (25 g) chromatographed on silica gel using C₆H₆-EtOAc and divided into 15 fractions: fr. 1-3 (C_6H_6 -EtOAc, 3:2); fr 4-7 (C_6H_6 -EtOAc, 2:3); fr. 8-15 (EtOAc). Fr. 3 (103g) was rechromatographed on silica gel (C₆H₆-EtOAc, 2:3) and the second fraction (244 mg) purified by prep TLC (n-hexane-Et₂O, 4:1) to afford ligustilide (58 mg). The fifth fraction (512 mg) was purified by HPLC [Lichroprep RP-8 (type C), MeOH- H_2O -HOAc (200:40:1), 8 ml/min] and then prep. TLC (C_6H_6 -EtOAc, 5.2) to give wallichilide (6) (30 mg). Fr. 9–15 (1.6 g) were bulked and subjected to HPLC [Lichroprep Si 60 (type C), EtOAc- C_6H_6 -HOAc (3:2:0.025), 9 ml/min] to give cis-6,7-dihydroxyligustilide (2) (597 mg). (b) The dried crushed rhizome (1 kg) was extracted with EtOAc (10 l.) for 4 days. After filtering, the solvent was evaporated to leave a crude extract (68 g), which was chromatographed on silica gel (600 g) using C_6H_6 -EtOAc and divided into nine fractions Fr. 9 (1 7 g) was subjected to HPLC [Lichroprep Si 60 (type C), C_6H_6 -EtOAc (2:3), 9 ml/min] and then Sephadex LH-20 (MeOH as eluent) to give trans-6,7-dihydroxyligustilide (3, 22 5 mg). Fr. 5 (20.6 g) was purified by Sephadex LH-20 (MeOH as eluent) and then silica gel chromatography using C_6H_6 -EtOAc (5:2) to afford 6 (15 mg)

3-Butylidene-7-hydroxyphthalide (1). Colourless amorphous powder, UV $\lambda_{\rm max}^{\rm McOH}$ nm: 221 (ε 1140), 260 (ε 6100), 326 (ε 3600); + AlCl₃ 230, 340; IR $\nu_{\rm max}^{\rm KBr}$ cm⁻¹. 3600, 1755, 1620; MS m/z (rel. int): 204 [M] + (27), 186 (4), 175 (100), 162 (38), 147 (27), 134 (6), 119 (8), 77 (4), 65 (9); ¹H NMR: δ 0.99 (3H, t, J = 7.3 Hz, H-11), 1.55 (2H, m, H-10), 2.44 (2H, m, H-9), 5.67 (1H, t, J = 7 3 Hz, H-8), 6.91 (1H, t, t) = 8 0 Hz, H-6), 7 13 (1H, t) = 8.0 Hz, H-4), 7.45 (1H, -OH, exchangeable with D₂O), 7.55 (1H, t) = 8 0, 8.0 Hz, H-5)

cis-6,7-Dihydroxyligustilide (2). Light yellow oil, UV $\lambda_{\rm me}^{\rm MeOH}$ nm: 272 (£10 500); IR $\nu_{\rm max}^{\rm KBr}$ cm⁻¹: 3400 (OH), 1765 (y-lactone), 1675, 1638, 1250, 1030, 990; MS m/z (rel. int.) 224.1044 [M] + (calc. 224.1049 for C₁₂H₁₆O₄, 27), 206 (4), 195 (2), 180 0806 (calc. 180.0786 for C₁₀H₁₂O₃, 100), 165 (17), 151.0395 (calc. 151 0395 for C₈H₇O₃, 60), 138 (19), 123 (21), 95 (32), 55 (77), 43 (91); ¹H NMR and ¹³C NMR: see Tables 1 and 2.

cis-6,7-Diacetoxyligustilide (2a) The diacetate of 2 was prepared by treatment with Ac_2O and C_5H_5N The usual work-up yielded 2a (25 mg) as an oil IR $v_{\rm max}^{\rm film}$ cm⁻¹: 1770 (y-lactone), 1745 (ester), 1680 and 1645 (diene), 1420, 1370, 1240, 1030, 925; MS m/z (rel. int): 248 [M - 60] + (10), 224 (8), 206 (100), 177 (25), 164 (18), 105 (5), 91 (4), 77 (5), 55 (17); UV $\lambda_{\rm max}^{\rm MeOH}$ nm 275 (£6300), ¹H NMR: δ 0.97 (3H, t, J = 7 3 Hz, H-11), 1.52 (2H, qt, J = 7 3, 7.3 Hz, H-10), 2 38 (2H, td, J = 7.3, 7.3 Hz, H-9), 2.07 and 2.09 (each 3H, s, -OAc), 2.51 and 2.59 (each 1H, m, H-4), 5.15 (1H, m, H-6), 5.34 (1H, t, J = 7 3 Hz, H-8), 5.73 (1H, d, J = 4.1 Hz, H-7). cis-6,7-Dibenzoylligustilide (2b). A mixture of 2 (22.4 mg,

trans-6,7-Dihydroxyligustilide (3). Light yellow oil, IR $v_{\text{max}}^{\text{lim}}$ cm⁻¹ 3400 (OH), 1765 (γ -lactone), 1675 and 1638 (diene), 1410, 1270, 1190, 950; MS m/z (rel. int.) 224 1044 [M]⁺ (calc 224.1045, for $C_{12}H_{16}O_4$, 31), 206 (5), 195 (5), 180 (100), 165 (19), 151 (44), 138 (14), 123 (5), 95 (17), 55 (27); ¹H NMR: see Table 1.

Wallichilude (6). Colourless plate, mp 163–164.5°, UV $\lambda_{\text{max}}^{\text{MOH}}$ nm 272, IR $\nu_{\text{max}}^{\text{KBr}}$ cm⁻¹: 1758 (y-lactone), 1715 (conj ester), 1690 (C=O), 1620 (C=C); MS m/z (rel. int.) 412 [M]⁺ (4), 380 (5), 296 (5), 267 (5), 222 (21), 190 (85), 165 (32), 161 (40), 148 (100), 120 (20), 105 (26), 77 (18), ¹H NMR and ¹³C NMR. see

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Tables 3 and 2 respectively. (Calc. $C_{25}H_{32}O_5$: C, 72.81; H, 7.76. Found: C, 72.51; H, 7.52.)

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